

# NEHARI-TYPE FAMILIES OF HARMONIC MAPPINGS

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ABSTRACT. We introduce affine and linearly invariant families of locally injective harmonic mappings of the unit disk  $\mathbb{D}$ . We derive sharp distortion theorems for the Jacobian that are used to establish a uniform modulus of continuity for the quasiconformal mappings in each class. Finally, we find a converse of a recent theorem of Chen and Ponnusamy characterizing when the image  $f(\mathbb{D})$  under a quasiconformal harmonic univalent mapping is a John domain.

## 1. INTRODUCTION

The purpose of this paper is to introduce certain Nehari-type classes  $NH_\mu$  of locally injective harmonic mappings defined in the unit disk  $\mathbb{D}$ . In our study we will choose the parameter  $\mu \in (0, 1]$ . The classes are affine and linearly invariant, and are defined in terms of a Schwarzian derivative. It has been shown in [8] that for sufficiently small  $\mu$ , the classes consist of univalent mappings, but an explicit estimate is not known, let alone the sharp value of univalence. There is a rich literature on linear invariant families of holomorphic mappings since the original work of Pommerenke [9], [10], and also of families of this type of harmonic mappings [12]. The range chosen for the parameter  $\mu$  is so that techniques from the Sturm theory and arguments based on convexity become applicable. The loss of conformality forces us to restrict the attention to mappings which are quasiconformal (in  $\mathbb{D}$ ), but this is enough to derive an explicit uniform modulus of continuity depending on  $\mu$ , and thus, an extension to the closed disk of the quasiconformal mappings in the classes. The natural question of classifying *extremal mappings*, that is, univalent mappings that fail to be injective on the boundary, must confront the difficulty that the value of  $\mu$  for univalence is not known, and will not be addressed here. We refer the reader to [6] for the holomorphic case.

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In [2], the authors study properties of John domains that are images of  $\mathbb{D}$  under quasiconformal univalent harmonic mappings. They extend a classical characterization of such domains determining the rate of growth of the derivative of the Riemann mapping  $f$  [6, Theorem 5.2], using the Jacobian instead. Furthermore, they show that a limes superior condition for the harmonic pre-Schwarzian at the boundary together with quasiconformality are sufficient for the image to be a John domain. Here no reference to a Nehari class is needed. As a harmonic analogue of Theorem 4 in [4], we establish the necessity of such a limes superior condition when  $f \in NH_1$  is quasiconformal.

Over the last decade, a fair amount of classical results of geometric function theory dealing with the Schwarzian derivative have been extended for harmonic mappings. In this, two complementary definitions have appeared, one in [5], and later one by Hernández and Martín [7]. The results in this paper will be based on this second definition, which seems better suited when one is not to consider the Weierstrass-Enneper lift.

Let  $f = h + \bar{g}$  be a sense-preserving harmonic mapping from  $\mathbb{D}$  in  $\mathbb{C}$ . The Schwarzian derivative of  $f$  is defined by

$$S_f = \partial_z P_f - \frac{1}{2}(P_f)^2,$$

where

$$P_f = \partial_z \log J_f = \frac{h''}{h'} - \frac{w'\bar{w}}{1-|w|^2}$$

is the pre-Schwarzian derivative,  $J_f = |h'|^2 - |g'|^2$  the Jacobian and  $w = g'/h'$  the second complex dilatation of  $f$ . If  $f = u + iv$ , the differential of  $f$  is given by

$$D_f = \begin{pmatrix} u_x & u_y \\ v_x & v_y \end{pmatrix}$$

and  $\|D_f\| := \sup\{|D_f z| : |z| = 1\} = |h'| + |g'|$  [7]. We observe that  $\sqrt{J_f} \leq \|D_f\|$ .

For  $\mu \in (0, 1]$  we denote by  $NH_\mu$  the family of locally injective, sense-preserving harmonic mappings  $f = h + \bar{g}$  for which

$$(1) \quad |S_f(z)| + \frac{|w'(z)|^2}{(1-|w(z)|^2)^2} \leq \frac{2\mu}{(1-|z|^2)^2},$$

and we let  $NH_\mu^0$  stand for subfamily of functions with  $\nabla J_f(0, 0) = (0, 0)$ .

It is not difficult to verify that the classes  $NH_\mu$  are preserved under the changes  $f \rightarrow af + b\bar{f}$ ,  $a, b \in \mathbb{C}$ ,  $|a| > |b|$ , and the compositions  $f \rightarrow f \circ \sigma$  for any automorphism  $\sigma$  of the disk, and are therefore affine and linearly invariant.

Within these families it will be necessary to consider mappings which are *quasiconformal* in  $\mathbb{D}$ , as well as *univalent* mappings onto John domains. To

be precise, we consider the class  $NH_\mu(K) \subset NH_\mu$  of mappings for which

$$\|D_f\| \leq K\ell(D_f) = K \inf\{|D_f(z)| : |z| = 1\} = K(|h'| - |g'|).$$

Thus, in  $NH_\mu(K)$  we have

$$(2) \quad \sqrt{J_f} \leq \|D_f\| \leq \sqrt{K} \sqrt{J_f}.$$

The definition of a John domain will be postponed for the last section.

## 2. PRELIMINARY RESULTS

The first result in this section will be crucial throughout the paper.

**Lemma 1.** *Let  $f \in NH_\mu^0$ ,  $0 < \mu \leq 1$ .*

(a) *If  $\mu = 1$  then*

$$(3) \quad |\partial_z \log J_f(z)| \leq \frac{L''(|z|)}{L'(|z|)} = \frac{2|z|}{1 - |z|^2},$$

where

$$L(z) = \frac{1}{2} \log \frac{1+z}{1-z}.$$

*If equality holds at a single  $z \neq 0$  then  $f$  is an affine mapping of  $L$ .*

(b) *If  $0 < \mu < 1$  then*

$$(4) \quad |\partial_z \log J_f(z)| \leq \frac{A_\mu''(|z|)}{A_\mu'(|z|)} \leq \frac{2\mu|z|}{1 - |z|^2},$$

where

$$A_\mu(z) = \frac{1}{\beta} \frac{(1+z)^\beta - (1-z)^\beta}{(1+z)^\beta + (1-z)^\beta}, \quad \beta = \sqrt{1-\mu}.$$

*If equality holds at a single  $z \neq 0$  then  $f$  is an affine mapping of  $A_\mu$ .*

*Proof.* The proofs of parts (a) and (b) follow the same arguments. We will show that in  $\mathbb{D}$

$$(5) \quad |\partial_z \log J_f(z)| \leq v(|z|),$$

where  $v$  is the solution of the initial value problem

$$(6) \quad \begin{cases} v'(t) = \frac{1}{2}v^2(t) + \frac{2\mu}{(1-t^2)^2} \\ v(0) = 0. \end{cases}$$

Since the quantities involved in the estimates are invariant under rotations, it is sufficient to analyze the case when  $z \in (0, 1)$ . For  $y(t) = P_f(t)$ ,  $t \in [0, 1)$  we have

$$y'(t) = \partial_z P_f(t) + \partial_{\bar{z}} P_f(t).$$

With  $\varphi(t) = |y(t)|$  it follows that  $\varphi'(t) \leq |y'(t)| = |\partial_z y + \partial_{\bar{z}} y|$ , hence

$$(7) \quad \begin{aligned} \varphi'(t) &\leq \left| S_f(t) + \frac{1}{2}y^2(t) - \frac{|w'(t)|^2}{(1-|w(t)|^2)^2} \right| \\ &\leq \left| S_f(t) - \frac{|w'(t)|^2}{(1-|w(t)|^2)^2} \right| + \frac{1}{2}\varphi^2(t) \\ &\leq \frac{2\mu}{(1-t^2)^2} + \frac{1}{2}\varphi^2(t). \end{aligned}$$

Comparing (6) and (7) we have

$$\begin{cases} (\varphi - v)'(t) \leq \frac{1}{2}(\varphi - v)(\varphi + v)(t), \\ (\varphi - v)(0) = 0 \end{cases}$$

and in consequence  $|y(t)| = \varphi(t) \leq v(t)$ . The function  $v(t)$  is given by

$$v(t) = \frac{F''(t)}{F'(t)}$$

for  $F$  a function with  $SF(t) = 2\mu(1-t^2)^{-2}$  and  $F''(0) = 0$ . For  $\mu = 1$  we may take  $F = L$ , while for  $\mu < 1$  we can choose  $F = A_\mu$ . This proves (2) and (3), except for the estimate on  $A''_\mu/A'_\mu$ , which can be found, for example, in [3]. Observe that the proof also shows that  $(\varphi - v)'(t) \leq 0$  for all  $0 \leq t < 1$ .

Suppose now, without loss of generality, that there is  $r \in (0, 1)$  such that  $\varphi(r) = v(r)$ . Then, because of  $\varphi - v \leq 0$  and  $(\varphi - v)'(t) \leq 0$ , one has  $\varphi = v$  in  $[0, r]$  and therefore

$$\varphi'(t) = \frac{2\mu}{(1-t^2)^2} + \frac{1}{2}\varphi^2(t)$$

for all  $t \in [0, r]$ . So, we must have equality in all the inequalities of (7) in  $[0, r]$ , from which it follows that

$$|S_f(t)| + \frac{|w'(t)|^2}{(1-|w(t)|^2)^2} \leq \frac{2\mu}{(1-t^2)^2} = \left| S_f(t) - \frac{|w'(t)|^2}{(1-|w(t)|^2)^2} \right|,$$

for all  $t \in [0, r]$ . Hence  $S_f \leq 0$  in  $[0, r]$  unless  $w' \equiv 0$ . Likewise, we conclude that  $y^2(t) \leq 0$  unless  $w' \equiv 0$ . Now, if  $y^2(t) \leq 0$  and  $S_f(t) \leq 0$ , we see that

$$y(t) = \pm i|y(t)| = \pm iv(t) \quad \text{and} \quad \partial_z y \leq 0,$$

and writing  $y = l + is$ , we get that in  $[0, r]$ ,  $l = 0$ ,  $s = \pm v$ ,

$$2\partial_z y = (l_x + s_y) + (s_x - l_y)i \leq 0, \quad \text{and} \quad 2\partial_{\bar{z}} y = (l_x - s_y) + (s_x + l_y)i \leq 0.$$

It follows that  $s_x = l_y$ ,  $s_x = -l_y$ , and  $l_x = 0$ , from where  $s_y = \partial_z y \leq 0$  and  $\partial_{\bar{z}} y = -s_y$ . As  $\partial_{\bar{z}} y \leq 0$ , we obtain a contradiction unless  $w' \equiv 0$ , which implies that  $f = F + \alpha\bar{F}$ , for some  $\alpha \in \mathbb{C}$  and  $F$  an analytic function with  $F''(0) = 0$  and  $SF(z) = 2\mu(1-z^2)^{-2}$ . This finishes the proof.  $\square$

**Theorem 1.** *Let  $f \in NH_\mu^0$ ,  $0 < \mu \leq 1$ , such that  $J_f(0) = 1$ .*

(a) *If  $\mu = 1$  then*

$$\frac{1}{L'(|z|)^2} \leq J_f(z) \leq L'(|z|)^2.$$

*If equality holds at a point  $z \neq 0$  then  $f$  is an affine mapping of a rotation of  $L$ .*

(b) *If  $\mu < 1$  then*

$$\frac{1}{A'_\mu(|z|)^2} \leq J_f(z) \leq A'_\mu(|z|)^2.$$

*Equality holds at a single  $z \neq 0$  then  $f$  is an affine mapping of an analytic function.*

*Proof.* (a) Given  $z \neq 0$ ,  $z = re^{i\theta}$ ,

$$\log J_f(re^{i\theta}) = \int_0^r \frac{\partial}{\partial t} \log J_f(te^{i\theta}) dt = \int_0^r \langle \nabla \log J_f(te^{i\theta}), e^{i\theta} \rangle d\theta$$

and therefore, by (3),

$$(8) \quad \left| \log J_f(re^{i\theta}) \right| \leq 2 \int_0^r \left| \partial_z \log J_f(te^{i\theta}) \right| dt \leq 2 \int_0^r \frac{2t}{1-t^2} dt.$$

Hence follows the statement (a) of the theorem.

If there is equality in  $z = re^{i\theta} \neq 0$ , then

$$(9) \quad \int_0^r \left| \partial_z \log J_f(te^{i\theta}) \right| dt = \int_0^r \frac{2t}{1-t^2} dt,$$

from where, by (3),

$$\left| \partial_z \log J_f(te^{i\theta}) \right| = \frac{2t}{1-t^2}, \quad 0 \leq t \leq r,$$

which implies that  $f$  is an affine mapping of a rotation of  $L$ .

To prove the statement (b) we follow the same idea as in the proof of (a). We use (4) to obtain

$$\left| \log J_f(re^{i\theta}) \right| \leq 2 \int_0^r \left| \partial_z \log J_f(te^{i\theta}) \right| dt \leq 2 \int_0^r \left\{ \frac{2t}{1-t^2} - \frac{2\mu^2}{1-t^2} A_\mu(t) \right\} dt,$$

where  $\alpha = \sqrt{1-\mu}$ . Now, proceeding as in the proof of Theorem 3 in [1], we see that

$$\left| \log J_f(re^{i\theta})^{1/2} \right| \leq \log 4 \frac{(1-r)^{\mu-1}(1+r)^{\mu-1}}{((1+r)^\mu + (1-r)^\mu)^2} = \log A'_\mu(|z|),$$

from which we have

$$\frac{1}{A'_\mu(r)^2} \leq J_f(re^{i\theta}) \leq A'_\mu(r)^2.$$

Reasoning as in part (a), if there is equality for some  $z \neq 0$  in any of the previous inequalities, then

$$\int_0^r \left| \partial_z \log J_f(te^{i\theta}) \right| dt = \int_0^r v(t) dt,$$

where  $v$  is defined as in equation (6). Hence, by (4),

$$\left| \partial_z \log J_f(te^{i\theta}) \right| = v(t); \quad 0 \leq t \leq r,$$

which implies that  $w$  is constant, and therefore  $f = ag + b\bar{g}$ ,  $a, b \in \mathbb{C}$  and  $g$  analytic and univalent.  $\square$

The following corollaries can be established following the same arguments of the above proof, and we omit the details.

**Corollary 1.** *Suppose that  $f \in NH_1^0$ . For all  $\xi \in \partial\mathbb{D}$  and all  $0 \leq r < \rho < 1$ ,*

$$\left( \frac{1 - \rho^2}{1 - r^2} \right)^2 \leq \frac{J_f(\rho\xi)}{J_f(r\xi)} \leq \left( \frac{1 - r^2}{1 - \rho^2} \right)^2.$$

*In particular,*

$$(10) \quad \frac{1}{M_1^2} J_f(r\xi) \leq J_f(\rho\xi) \leq M_1^2 J_f(r\xi),$$

*if  $0 \leq r < \rho < 1$  satisfies  $1 - r^2 \leq M_1(1 - \rho^2)$ .*

**Corollary 2.** *Suppose that  $f \in NH_1^0$  and let  $z = re^{i\theta}$  and  $w = re^{i\nu}$ ,  $0 < r < 1$ . Then*

$$e^{-2M_2} J_f(w) \leq J_f(z) \leq e^{2M_2} J_f(w),$$

*if  $|\theta - \nu| \leq M_2(1 - r)$ .*

### 3. BOUNDARY BEHAVIOUR

**3.1. Hölder continuity.** We will show that the functions in the family  $NH_\mu(K)$  turn out to be bounded and Hölder continuous under a certain condition for the derivative of the pre-Schwarzian at zero.

**Theorem 2.** *Let  $0 < \mu < 1$  and  $f \in NH_\mu(K)$  such that  $|y(0)| < 2\sqrt{1 - \mu}$ , where  $y(z) = \partial_z \log J_f(z)$ . Then*

*(a)  $f$  is bounded. The condition  $|y(0)| < 2\sqrt{1 - \mu}$  is sharp.*

*(b)  $f$  has a Hölder continuous extension to  $\partial\mathbb{D}$ .*

*Proof.* Given  $0 \leq \theta < 2\pi$ , we define the function

$$u(t) = u_\theta(t) = e^{-\frac{1}{2} \int_0^t |y(se^{i\theta})| ds}, \quad 0 \leq t < 1.$$

Then  $u$  satisfies the initial value problem

$$\begin{cases} u'' + qu = 0, \\ u(0) = 1, \\ u'(0) = -\frac{1}{2} |y(0)|, \end{cases}$$

where  $q(t) = \frac{1}{2} |y(t)|' - \frac{1}{4} |y(t)|^2$ . We note that  $f \in NH_\mu(K)$  implies  $q(t) \leq \frac{\mu}{(1-t^2)^2}$ . Now, consider the initial value problem

$$\begin{cases} v'' + \frac{\mu}{(1-t^2)^2} v = 0, \\ v(0) = 1, \\ v'(0) = u'(0), \end{cases}$$

whose solution is

$$v(t) = \sqrt{1-t^2} \left\{ C_1 \left( \frac{1+t}{1-t} \right)^\gamma + C_2 \left( \frac{1+t}{1-t} \right)^{-\gamma} \right\},$$

where

$$\gamma = \frac{\sqrt{1-\mu}}{2}, \quad C_1 = \frac{1}{2} - \frac{|y(0)|}{8\gamma}, \quad \text{and} \quad C_2 = \frac{1}{2} + \frac{|y(0)|}{8\gamma}.$$

As  $|y(0)| < 2\sqrt{1-\mu}$  then  $C_1 > 0$  and so  $v(t) > 0$  in  $[0, 1)$  and  $v(1) = 0$ . A standard comparison theorem guarantees that  $u(t) \geq v(t)$  for all  $t \in [0, 1)$ . Now, since  $C_2 = 1 - C_1$  and  $C_1 > 0$  it follows that

$$v^{-2}(t) \leq \frac{1}{C_1^2} \frac{(1+t)^{2\gamma-1} (1-t)^{2\gamma+1}}{[(1+t)^{2\gamma} - (1-t)^{2\gamma}]^2}.$$

From here and  $u(t) \geq v(t)$  in  $[0, 1)$  we obtain

$$(11) \quad u^{-2}(t) \leq M \frac{1}{(1-t)^{1-2\gamma}},$$

for all  $0 < a \leq t < 1$  and some constant  $M = M(C_1, a, \gamma) > 0$ .

On the other hand, given  $r \in (0, 1)$  and  $\theta \in [0, 2\pi)$

$$\begin{aligned} \left| \log \left( \frac{J_f(re^{i\theta})}{J_f(0)} \right)^{1/2} \right| &\leq \int_0^r \left| \nabla \log(J_f(te^{i\theta}))^{1/2} \right| dt \\ &= \int_0^r \left| \partial_z \log(J_f(te^{i\theta})) \right| dt \\ &= \log u^{-2}(r). \end{aligned}$$

So, with this and (11) it follows that

$$(12) \quad \sqrt{J_f(re^{i\theta})} \leq M \sqrt{J_f(0)} \frac{1}{(1-r)^{1-2\gamma}},$$

for all  $r \in [a, 1)$ .

(a) We first show that  $f$  is bounded. Obviously  $f$  is bounded in  $\overline{D(0, a)}$ . On the other hand, for  $a < |z| < 1$  and  $z = re^{i\theta}$ , by (2)

$$\begin{aligned} |f(z)| &\leq |f(z) - f(ae^{i\theta})| + |f(ae^{i\theta})| \\ &\leq \int_a^r \|D_f(te^{i\theta})\| dt + C \\ &\leq \sqrt{K} \int_a^r \sqrt{J_f(te^{i\theta})} dt + C, \end{aligned}$$

whence by (12) one sees that

$$|f(z)| \leq M \sqrt{K J_f(0)} \int_a^1 \frac{1}{(1-t)^{1-2\gamma}} dt + C = \widetilde{M}(1-a)^{2\gamma} + C.$$

To show that the condition  $|y(0)| < 2\sqrt{1-\mu}$  is optimal for  $f \in NH_\mu(K)$ , we consider the function

$$f(z) = \frac{A_\mu(z)}{1 - \sqrt{1-\mu}A_\mu(z)},$$

where  $A_\mu$  is defined as in Lemma 1. A straightforward calculation shows that  $f \in NH_\mu(K)$  and  $f$  is not bounded.

(b) Let  $0 < a < \rho < 1$ . There is  $\delta > 0$  such that for all  $z_1, z_2$ , with  $\rho < |z_1|, |z_2| < 1$  and  $|z_1 - z_2| < \delta$ , the hyperbolic segment  $\Gamma$  joining  $z_1$  and  $z_2$  satisfies  $\Gamma \subset \{z \mid \rho < |z| < 1\} =: A_\rho$ . Now, by (2), (12) and an argument of Gehring and Pommerenke in [6], we have

$$\begin{aligned} |f(z_1) - f(z_2)| &\leq \int_\Gamma \|D_f(\zeta)\| |d\zeta| \\ &\leq M \sqrt{K} \sqrt{J_f(0)} \int_\Gamma \frac{|dz|}{(1-|\zeta|)^{1-2\gamma}} \\ &\leq \frac{B}{\sqrt{1-\mu}} |z_1 - z_2|^{\sqrt{1-\mu}}, \end{aligned}$$

where  $B$  is a constant that only depends on  $K$ . It follows that  $f$  is Hölder continuous in  $A_\rho$  and therefore  $f$  has a Hölder continuous extension to  $\overline{A_\rho}$ .  $\square$

**3.2. Logarithmic continuity.** We will prove that every function  $f = h + \bar{g}$  in  $NH_1^0$  can be extended continuously to  $\overline{\mathbb{D}}$ . There is no loss of generality in assuming that  $h(0) = 0$  and  $h'(0) = 1$ .



As in the proof of Lemma 1, if  $y = P_f = \partial_z \log J_f$ , we get that for any fixed  $0 \leq \theta < 2\pi$ ,  $\varphi(t) = |y(te^{i\theta})|$ ,  $0 \leq t < 1$ , satisfies

$$(13) \quad \begin{aligned} \varphi' \leq |y'| &= \left| S_f + \frac{1}{2}y^2 - \frac{|w'|^2}{(1-|w|^2)^2} \right| \\ &\leq \left| S_f - \frac{|w'|^2}{(1-|w|^2)^2} \right| + \frac{1}{2}\varphi^2 \\ &\leq \frac{2}{(1-t^2)^2} + \frac{1}{2}\varphi^2. \end{aligned}$$

Likewise, we can see that the function

$$u(t) = u_\theta(t) = e^{-\frac{1}{2} \int_0^t \varphi(se^{i\theta}) ds}$$

satisfies

$$u'' + qu = 0, \quad \text{with} \quad q(t) \leq \frac{1}{(1-t^2)^2} := p(t).$$

We consider now the test function

$$u_f(z) = \frac{u_\theta(t)}{\sqrt{1-t^2}}; \quad z = te^{i\theta},$$

and we show that it is hyperbolically convex along any ray from the origin, which means that for all  $0 \leq \theta < 2\pi$ ,  $\phi(s) = u_f(\gamma(s)e^{i\theta})$  satisfies  $\phi'' \geq 0$ , where  $\gamma(s) = \frac{e^{2s}-1}{e^{2s}+1}$ ,  $0 \leq s < \infty$ , is the parametrization of  $[0, 1)$  by hyperbolic arc length. We first note that if  $v(t) = \sqrt{1-t^2}$ , then

$$v'(t) = -\frac{t}{\sqrt{1-t^2}} \quad \text{and} \quad v'' + \frac{1}{(1-t^2)^2}v = v'' + pv = 0.$$

Moreover,  $\gamma' = 1 - \gamma^2 = (v \circ \gamma)^2$ . Thus,

$$\phi' = \frac{vu' - uv'}{v^2} \gamma' = vu' - uv'$$

and therefore

$$\phi'' = (u''v - v''u)\gamma' = (p - q)uv\gamma' \geq 0.$$

From this and the normalization  $\nabla J_f(0, 0) = (0, 0)$ , which implies that  $\phi$  has a minimum at 0, we may conclude that, either  $u_f$  is constant along some segment  $[0, re^{i\mu})$ ,  $0 < r < 1$ , or  $\phi(s) = u_f(\gamma(s)e^{i\theta})$  is strictly convex (hence strictly increasing) for all  $0 \leq \theta < 2\pi$ . We study these two cases separately.

**Case 1.** In the first case, without loss of generality, we can assume that  $u_f$  is constant in  $[0, 1)$ . Then, because of  $u_f(0) = 1$ , we have  $u_f \equiv 1$  in  $[0, 1)$ , which implies  $u(t) = \sqrt{1-t^2}$ ,  $0 \leq t < 1$ , and consequently

$$\varphi(t) = \frac{2t}{1-t^2}, \quad 0 \leq t < 1.$$

Since  $\varphi$  satisfies

$$\varphi'(t) = \frac{2}{(1-t^2)^2} + \frac{1}{2}\varphi^2,$$

then we have equality in all the inequalities of (13). Therefore, as in the proof of Lemma 1, we conclude that  $f \in NH_1^0$  has the form  $f = h + \beta\bar{h}$ , for some  $\beta \in \mathbb{C}$ , where  $h$  is a rotation of

$$L(z) = \frac{1}{2} \log \frac{1+z}{1-z}, \quad z \in \mathbb{D},$$

hence that  $h$  (and therefore  $f$ ) has a spherically continuous extension to  $\bar{\mathbb{D}}$ .

**Case 2.** Now suppose that  $\phi(s) := \phi_\theta(s) = u_f(\gamma(s)e^{i\theta})$  is strictly convex for all  $0 \leq \theta < 2\pi$ . We will use a standard argument to obtain a bound for  $J_f$ , which gives us the desired continuous extension of  $f$  to  $\bar{\mathbb{D}}$ . Indeed, the proof will show that  $f$  has a logarithmic modulus of continuity in  $\bar{\mathbb{D}}$ . The condition  $\nabla J_f(0, 0) = (0, 0)$  implies that  $\phi_\theta(s)$  is strictly increasing for all  $\theta$ . Therefore  $\phi'_\theta(1) > 0$  for all  $\theta$  and so, by continuity, there is  $\delta > 0$  such that

$$\phi'_\theta(s) \geq \delta, \quad 0 \leq \theta < 2\pi \quad \text{and} \quad s \geq 1.$$

It follows that

$$\frac{u_\theta(\gamma(s))}{v(\gamma(s))} \geq \phi_\theta(1) + \delta(s-1), \quad 0 \leq \theta < 2\pi \quad \text{and} \quad s \geq 1,$$

and consequently

$$\frac{1}{u_\theta(t)} \leq \frac{1}{\sqrt{1-t^2}} \frac{1}{\delta} \left( \frac{1}{2} \log \frac{1+t}{1-t} - 1 \right)^{-1}, \quad t \geq \frac{e-1}{e+1}.$$

Thus, for all  $z = re^{i\theta} \in \mathbb{D}$ ,

$$(14) \quad e^{\int_0^r |\partial_z \log J_f(te^{i\theta})| dt} \leq \frac{1}{\delta^2} \frac{1}{1-r^2} \left( \frac{1}{2} \log \frac{1+r}{1-r} - 1 \right)^{-2}.$$

On the other hand,

$$\log \frac{J_f(re^{i\theta})}{J_f(0)} = \int_0^r \frac{\partial}{\partial t} \log J_f(te^{i\theta}) dt,$$

from where

$$\left| \log \frac{J_f(re^{i\theta})}{J_f(0)} \right| \leq 2 \int_0^r \left| \partial_z \log J_f(te^{i\theta}) \right| dt.$$

Thus, by (14),

$$\sqrt{J_f(re^{i\theta})} \leq \sqrt{J_f(0)} e^{\int_0^r |\partial_z \log J_f(te^{i\theta})| dt} \leq C \frac{1}{1-r^2} \left( \frac{1}{2} \log \frac{1+r}{1-r} - 1 \right)^{-2}$$

and therefore, by (2),

$$\|D_f(z)\| \leq \frac{M}{1-r^2} \left( \frac{1}{2} \log \frac{1+r}{1-r} - 1 \right)^{-2},$$

for some constant  $M$  independent on  $z$ . We may now conclude, by integration along hyperbolic geodesics in  $\mathbb{D}$ , see for example proof of Theorem 2 in [6],

that for all  $z, z' \in \mathbb{D}$ ,

$$|f(z) - f(z')| \leq M_1 \left( \log \frac{M_2}{|z - z'|} \right)^{-1},$$

where  $M_1, M_2 > 0$  are constants independent on  $z$  and  $z'$ , which is the desired conclusion.

#### 4. JOHN DOMAINS

Finally, in this section we establish a partial converse to Theorem 5 in [2], and a harmonic analogue of part (ii) of Theorem 4 in [4]. We recall the definition of a John domain in the plane.

**Definition 1.** *Let  $b > 1$ . A domain  $\Omega \subset \mathbb{C}$  is said to be a  $b$ -domain of John, if there exist  $p \in \Omega$  such that every point  $q \in \Omega$  can be joined to  $p$  by a rectifiable curve  $\gamma \subset \Omega$  with*

$$\ell(\gamma(y, q)) \leq b d(y, \partial\Omega) \text{ for all } y \in \gamma,$$

where  $\gamma(y, q)$  is the subarc of  $\gamma$  from  $y$  to  $q$ ,  $\ell(\gamma(y, q))$  its length, and  $d(y, \partial\Omega)$  the distance from  $y$  to the boundary of  $\Omega$ .

The point  $p$  in this definition will be referred to as the center of the John domain. If  $f : \mathbb{D} \rightarrow \mathbb{C}$  is a univalent mapping, we will say that  $\Omega = f(\mathbb{D})$  is a *radial John domain*, if  $p = f(0)$  and  $\gamma$  can be chosen always to be the image of some radial segment  $[0, z]$ .

We begin with a variant for the class  $NH_1^0(K)$  of a theorem proved in [11] for conformal mappings. In [13] an analogue of this theorem is established for the lift of a harmonic mapping.

**Lemma 2.** *Suppose that  $f \in NH_1^0(K)$  and  $\Omega = f(\mathbb{D})$  is a radial John domain. Then there are constants  $M = M(K) > 0$  and  $\delta = \delta(K) \in (0, 1)$  such that*

$$\|D_f(\rho\xi)\| \leq M \|D_f(r\xi)\| \left( \frac{1-\rho}{1-r} \right)^{\delta-1}$$

for all  $\xi \in \partial\mathbb{D}$  and  $0 \leq r < \rho < 1$ .

*Proof.* Let  $z \in \mathbb{D}$ . Proceeding as in the proof of Theorem 1 in [2] we obtain

$$(15) \quad \|D_f(z)\| \geq \frac{1+K}{K} \frac{d_f(z)}{1-|z|^2},$$

here  $d_f(z)$  is the Euclidean distance from  $f(z)$  to the boundary of  $\Omega$ . On the other hand, as  $\Omega$  is a radial John domain (with center  $f(0)$ ), there is  $c > 0$  such that

$$\ell(f[r\xi, \rho\xi]) \leq c d_f(r\xi)$$

for all  $\xi \in \partial\mathbb{D}$  and  $0 \leq r < \rho < 1$ . From here

$$\begin{aligned} \frac{1}{K} \int_r^1 \|D_f(t\xi)\| dt &\leq \int_r^1 \ell(D_f(t\xi)) dt \\ &\leq \int_r^1 |df(t\xi)| dt \\ &\leq cd_f(r\xi). \end{aligned}$$

By (15) it follows that

$$(16) \quad \int_r^1 \|D_f(t\xi)\| dt \leq M_3(1-r^2) \|D_f(r\xi)\|,$$

where  $M_3 := \frac{cK^2}{1+K}$ .

Now, for  $\xi \in \partial\mathbb{D}$  fixed, we consider the function

$$\varphi(r) = \int_r^1 \|D_f(t\xi)\| dt,$$

which, by (16), satisfies

$$\varphi'(r) = -\|D_f(r\xi)\| \quad \text{and} \quad \varphi(r) \leq M_3(1-r^2) \|D_f(r\xi)\|.$$

It follows that for  $0 < r < \rho < 1$ ,

$$\log \frac{\varphi(\rho)}{\varphi(r)} = \int_r^\rho \frac{\varphi'(t)}{\varphi(t)} dt \leq -\frac{1}{M_3} \int_r^\rho \frac{dt}{1-t^2} \leq -\frac{1}{2M_3} \int_r^\rho \frac{dt}{1-t}$$

and therefore

$$(17) \quad \varphi(\rho) \leq \varphi(r) \left( \frac{1-\rho}{1-r} \right)^{\frac{1}{2M_3}} \leq M_3(1-r^2) \|D_f(r\xi)\| \left( \frac{1-\rho}{1-r} \right)^{\frac{1}{2M_3}}$$

for all  $0 < r < \rho < 1$ .

On the other hand, by (2), for all  $0 < \rho < 1$ ,

$$\varphi(\rho) \geq \int_\rho^{\frac{1+\rho}{2}} \|D_f(t\xi)\| dt \geq \int_\rho^{\frac{1+\rho}{2}} \sqrt{J_f(t\xi)} dt$$

and since  $\rho \leq t \leq \frac{1+\rho}{2}$  implies  $\frac{1-\rho^2}{1-t^2} \leq 2$ , we obtain from (10) that

$$\varphi(\rho) \geq \frac{1}{2} \int_\rho^{\frac{1+\rho}{2}} \sqrt{J_f(\rho\xi)} dt = \frac{1-\rho}{4} \sqrt{J_f(\rho\xi)}.$$

From here and (2) we have

$$\varphi(\rho) \geq \frac{1}{8\sqrt{K}}(1-\rho^2) \|D_f(\rho\xi)\|.$$

It follows by (17) that

$$\frac{1}{8\sqrt{K}}(1-\rho^2) \|D_f(\rho\xi)\| \leq M_3(1-r^2) \|D_f(r\xi)\| \left( \frac{1-\rho}{1-r} \right)^{\frac{1}{2M_3}}$$

and therefore

$$\frac{(1-\rho)\|D_f(\rho\xi)\|}{(1-r)\|D_f(r\xi)\|} \leq M \left( \frac{1-\rho}{1-r} \right)^\delta,$$

where  $M = 8M_3\sqrt{K}$  and  $\delta = \frac{1}{2M_3}$ . From where the lemma follows.  $\square$

**Theorem 3.** *If  $f \in NH_1^0(K)$  and  $f(\mathbb{D})$  is a radial John domain, then*

$$\limsup_{|z| \rightarrow 1} (1 - |z|^2) \operatorname{Re} \{zP_f(z)\} < 2.$$

*Proof.* We define the function

$$\varphi(z) = P_f(z) = \partial_z \log J_f(z).$$

Since  $f \in NH_1^0$ , it follows from (3) that for  $z \in \mathbb{D}$ ,  $|z| = r$ ,

$$\begin{aligned} |\partial_z \varphi(z)| &= |S_f(z) + \frac{1}{2}\varphi^2(z)| \\ &\leq \frac{2}{(1-r^2)^2} + \frac{2r^2}{(1-r^2)^2} \\ &= \frac{d}{dr} \frac{2r}{1-r^2}. \end{aligned}$$

Similarly, since

$$\partial_{\bar{z}} \varphi(z) = \frac{\partial}{\partial \bar{z}} \frac{w'(z)\bar{w}(z)}{1-|w(z)|^2} = - \left( \frac{|w'(z)|}{1-|w(z)|^2} \right)^2,$$

then

$$\begin{aligned} (18) \quad |\partial_z \varphi(z) + \partial_{\bar{z}} \varphi(z)| &= \left| S_f(z) - \left( \frac{|w'(z)|}{1-|w(z)|^2} \right)^2 + \frac{1}{2}\varphi^2(z) \right| \\ &\leq \frac{2}{(1-r^2)^2} + \frac{2r^2}{(1-r^2)^2} \\ &= \frac{d}{dr} \frac{2r}{1-r^2}. \end{aligned}$$

Arguing by contradiction let us assume that

$$\limsup_{|z| \rightarrow 1} (1 - |z|^2) \operatorname{Re} \{zP_f(z)\} = 2.$$

Then there is a sequence  $(z_n) \in \mathbb{D}$  such that  $|z_n| \rightarrow 1$  and

$$(19) \quad \lim_{n \rightarrow \infty} (1 - |z_n|^2) \operatorname{Re} \{z_n P_f(z_n)\} = 2.$$

Let us fix  $x \in (0, 1)$  and let

$$z_n = \rho_n \xi_n, \quad |\xi_n| = 1, \quad \text{and} \quad r_n = \sigma_n(x),$$

where  $\sigma_n$  is the automorphism of  $\mathbb{D}$  defined by

$$\sigma_n(z) = \frac{\rho_n - z}{1 - \rho_n z}.$$

Note that  $\lambda(r_n, \rho_n) = \lambda(x, 0)$  for all  $n$ , where  $\lambda$  is the hyperbolic metric in  $\mathbb{D}$ . It follows by (18) that for  $0 < r < \rho_n$ ,

$$\begin{aligned} |\operatorname{Re} \{\xi_n \varphi(\rho_n \xi_n)\} - \operatorname{Re} \{\xi_n \varphi(r \xi_n)\}| &= \left| \int_r^{\rho_n} \frac{\partial}{\partial t} \operatorname{Re} \{\xi_n \varphi(t \xi_n)\} dt \right| \\ &= \left| \int_r^{\rho_n} \operatorname{Re} \{\xi_n^2 (\partial_z \varphi(t \xi_n) + \partial_{\bar{z}} \varphi(t \xi_n))\} dt \right| \\ &\leq \int_r^{\rho_n} |\partial_z \varphi(t \xi_n) + \partial_{\bar{z}} \varphi(t \xi_n)| dt \\ &\leq \int_r^{\rho_n} \frac{\partial}{\partial t} \frac{2t}{1-t^2} dt \\ &= \frac{2\rho_n}{1-\rho_n^2} - \frac{2r}{1-r^2}. \end{aligned}$$

Thus, if  $0 < r_n \leq r \leq \rho_n$ , we have on the one hand

$$2 - \frac{1-r^2}{r} \operatorname{Re} \{\xi_n \varphi(r \xi_n)\} \leq \frac{\rho_n}{r} \frac{1-r^2}{1-\rho_n^2} \left[ 2 - \frac{1-\rho_n^2}{\rho_n} \operatorname{Re} \{\xi_n \varphi(\rho_n \xi_n)\} \right]$$

and by other hand,

$$\frac{1-r^2}{1-\rho_n^2} \leq \frac{1-r}{1-\rho_n} \leq \frac{1-r_n}{1-\rho_n} = \frac{1+x}{1-x\rho_n} \leq \frac{1+x}{1-x}.$$

Therefore, if  $0 < r_n \leq r \leq \rho_n$ ,

$$\left| 2 - \frac{1-r^2}{r} \operatorname{Re} \{\xi_n \varphi(r \xi_n)\} \right| \leq \frac{\rho_n}{r_n} \frac{1+x}{1-x} \left| 2 - \frac{1-\rho_n^2}{\rho_n} \operatorname{Re} \{\xi_n \varphi(\rho_n \xi_n)\} \right|.$$

As  $\rho_n = |z_n| \rightarrow 1$  and  $\lambda(r_n, \rho_n) = \lambda(x, 0)$ , then  $r_n \rightarrow 1$ . Also, by (19), given  $\epsilon > 0$  there is  $N = N(\epsilon, x)$  such that

$$\left| 2 - \frac{1-r^2}{r} \operatorname{Re} \{\xi_n \varphi(r \xi_n)\} \right| < \epsilon$$

for all  $n \geq N$  and  $r_n \leq r \leq \rho_n$ . From here and the following equality

$$\begin{aligned} \log \frac{(1-\rho_n^2)\sqrt{J_f(\rho_n \xi_n)}}{(1-r_n^2)\sqrt{J_f(r_n \xi_n)}} &= \int_{r_n}^{\rho_n} \frac{\partial}{\partial r} \log \left[ (1-r^2)\sqrt{J_f(r \xi_n)} \right] dr \\ &= \int_{r_n}^{\rho_n} \left[ -\frac{2r}{1-r^2} + \operatorname{Re} \{\xi_n \partial_z \log J_f(r \xi_n)\} \right] dr \\ &= \int_{r_n}^{\rho_n} \left[ -\frac{2r}{1-r^2} + \operatorname{Re} \{\xi_n \varphi(r \xi_n)\} \right] dr, \end{aligned}$$

we obtain

$$\log \frac{(1-\rho_n^2)\sqrt{J_f(\rho_n \xi_n)}}{(1-r_n^2)\sqrt{J_f(r_n \xi_n)}} > -\epsilon \int_{r_n}^{\rho_n} \frac{r}{1-r^2} dr = \log \left( \frac{1-\rho_n^2}{1-r_n^2} \right)^{\frac{\epsilon}{2}}.$$

As a consequence

$$\frac{(1 - \rho_n^2)\sqrt{J_f(\rho_n\xi_n)}}{(1 - r_n^2)\sqrt{J_f(r_n\xi_n)}} > \left(\frac{1 - \rho_n^2}{1 - r_n^2}\right)^{\frac{\epsilon}{2}}$$

for all  $n \geq N$ . Thus,

$$\frac{(1 - \rho_n)\sqrt{J_f(\rho_n\xi_n)}}{(1 - r_n)\sqrt{J_f(r_n\xi_n)}} > \frac{1}{2} \left(\frac{1 - \rho_n}{1 - r_n}\right)^{\frac{\epsilon}{2}}$$

for all  $n \geq N$ . It follows from (2) that

$$\frac{(1 - \rho_n) \|D_f(\rho_n\xi_n)\|}{(1 - r_n) \|D_f(r_n\xi_n)\|} > \frac{1}{2\sqrt{K}} \left(\frac{1 - \rho_n}{1 - r_n}\right)^{\frac{\epsilon}{2}} > \frac{1}{2\sqrt{K}} \left(\frac{1 - x}{1 + x}\right)^{\frac{\epsilon}{2}}$$

for all  $n \geq N$ . We conclude that for all  $\beta > 0$  and all  $x \in (0, 1)$  there are points  $\xi \in \partial\mathbb{D}$ ,  $\rho \in (0, 1)$  and  $r = \frac{\rho-x}{1-\rho x}$  such that

$$\frac{(1 - \rho) \|D_f(\rho\xi)\|}{(1 - r) \|D_f(r\xi)\|} > \beta.$$

This leads us to a contradiction, since by Lemma 2, for all  $\xi \in \partial\mathbb{D}$ ,

$$\frac{(1 - \rho) \|D_f(\rho\xi)\|}{(1 - r) \|D_f(r\xi)\|} \leq M \left(\frac{1 - \rho}{1 - r}\right)^\delta \leq M \left(\frac{1 - x}{1 + x}\right)^\delta$$

if  $0 < r \leq \rho < 1$  satisfies  $r = \frac{\rho-x}{1-\rho x}$ .  $\square$

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